

**Individuals with Disabilities  
Education Act 2004**

**Nebraska  
Part C:  
Annual Performance  
Report  
FFY 2012 (2012-2013)**

**Submitted February 1, 2014  
by  
Nebraska Department of Education  
Nebraska Department of Health and Human Services**



This report was prepared by staff from the Co-Lead Agencies for Early Intervention:  
Nebraska Department of Education (NDE)  
Nebraska Department of Health and Human Services (NDHHS)

For more information contact the  
Office of Special Education, Nebraska Department of Education  
(402) 471-2471  
<http://www.education.ne.gov/sped>

For information about Nebraska's Early Development Network go to  
<http://edn.ne.gov/>

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**Nebraska Part C Annual Performance Report (APR)  
on the IDEA Part C State Performance Plan (SPP) Indicators\***

**FFY 2012  
(2012-2013)**

*For information about the Part C Indicators, contact:*

Carol McClain, NDE: carol.mcclain@nebraska.gov

Joan Luebbbers, NDE: joan.luebbbers@nebraska.gov

Courtney Miller, NDHHS: courtney.miller@nebraska.gov

Amy Bunnell, NDHHS: amy.bunnell@nebraska.gov

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\* The Nebraska FFY 2012 APR and the Nebraska SPP (revised 2/1/14)  
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## Part C State Annual Performance Report (APR) for 2012-2013

### Overview of the Annual Performance Report Development:

The State Annual Performance Report is to be submitted annually in accordance with the 20 U.S.C. 1416(b)(2)(c)(ii)(II) and 1442. The first APR was submitted February 1, 2006, and has been provided to the Office of Special Education Programs (OSEP) each year since that date. In the February 3, 2014, APR submission, the State is required to provide a description of the process the State used to develop the APR. The descriptions must include how and when the State will report annually to the public on: 1) the State's progress and/or slippage in meeting the measurable and rigorous targets found in the State Performance Plan (SPP); and 2) the performance of each local educational agency located in the State on the targets in the SPP.

### Process Used to Develop the APR

The Nebraska Department of Education (NDE) and Nebraska Department of Health and Human Services (NDHHS), acting as co-lead agencies (a.k.a. the Co-Leads), are responsible for ensuring that Part C of the Individuals with Disabilities Education Act (IDEA) is fully implemented for all infants and toddlers with disabilities and their families through the Early Development Network (EDN). Monitoring is done through the state's 28 Planning Region Teams (PRTs). The Planning Region Teams function as Nebraska's local interagency coordinating councils with representatives from services coordination, social services, the medical community, school districts, families, and others involved in meeting the needs of children and their families. The Planning Region Teams assist in conducting Child Find, public awareness, professional development, family involvement and address gaps and barriers to services at the local and regional level.

The monitoring process is comprehensive, taking into account multiple sources of data to gauge the results of Early Intervention and special education services and supports. The NDHHS and NDE Monitoring Protocols guide the review of files and other factors that indicate compliance with IDEA Part C requirements. The data gathered is analyzed to evaluate services coordination, early intervention services in natural environments, Child Find, the Individual Family Service Plan (IFSP) process, early childhood and family outcomes, effective transition and family rights.

NDE staff conducts on-site monitoring activities involving school districts on a five-year cycle. In addition, school districts carry out specific activities each year as part of the "Improved Learning for Children with Disabilities" (ILCD) process that serves as a guide for local school districts to evaluate themselves and the services they provide for children with disabilities. The ILCD improvement activities are designed to direct school districts toward appropriate practices to decrease the likelihood that they will be found out of compliance when monitored by NDE.

NDHHS staff monitors Services Coordination every three years. IFSP files and other records maintained by Services Coordinators are reviewed for compliance with IDEA and Medicaid. Information gathered through the monitoring by NDE and NDHHS is shared for use in the development of the APR and the issuance of annual determinations to school districts. The Co-Leads, made up of representatives of the two agencies, jointly provide training and technical assistance to providers across the state regarding state and federal rules and the other issues involved in compliance monitoring.

The number of infants and toddlers verified with a disability is collected annually through the NDE Nebraska Student and Staff Record System (NSSRS). Information is entered in NSSRS by school district

personnel. Referrals to EDN are collected through the CONNECT system (Coordinating Options in Nebraska’s Network through Effective Communication and Technology) managed by NDHHS.

Information is entered on CONNECT by Services Coordinators who work with children birth to age three. The CONNECT system also allows Services Coordinators to access information regarding other services the child is receiving. Data from both systems are analyzed and sent to the Early Development Network for review. Data is also obtained through family, provider/Services Coordinator, and Planning Region Team member surveys.

Outcome data on children birth to three is gathered through the statewide early childhood assessment system known as “Results Matter.” Family outcome data is collected via a statewide survey of all Part C families. Positive social-emotional development has been fostered and evaluated through Nebraska’s participation in the Centers for Social and Emotional Foundations for Early Learning (CSEFEL) program. In addition, the state Early Childhood Interagency Coordinating Council (ECICC) and the State Special Education Advisory Council (SEAC) examined and provided input concerning early childhood outcomes, program quality initiatives, family surveys and identification of gaps and barriers in the early childhood system.

#### **Reporting to the Public**

Nebraska EIS will use the Early Development Network website to annually report to the public on Nebraska progress and/or slippage in meeting the State Performance Plan (SPP) measurable and rigorous targets. A link to the Annual Performance Reports and the public reporting can be found on the EDN webpage at <http://edn.ne.gov/spp/index.html>. Reporting of individual regional data for FFY 2012 is posted for public viewing at <http://edn.ne.gov/spp/regional-data.html>.

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

See APR Overview, Page 1.

**Monitoring Priority: Early Intervention Services In Natural Environments**

**Indicator 1:** Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:**

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services, including the reasons for delay. *(Not required for FFY 2008)*

FFY	Measurable and Rigorous Target
<p><b>2012</b> <i>(2012-2013)</i></p>	<p>100% compliance of infants and toddlers who receive the early interventions services on their IFSPs in a timely manner.</p>

**Actual Target Data for FFY 2012: 100%--target met.**

The Individualized Family Service Plan (IFSP) checklist file review for Improving Learning for Children with Disabilities (ILCD) gathers data regarding the receipt of early intervention services on IFSPs in a timely manner.

In FFY 2012, seventeen (17) of the Planning Regions participated in an IFSP file review for a total of 166 files. All 166 files (100%) were in compliance with the IFSP completed in a timely manner.

**2012-2013 IFSP file reviews for compliance with services in a timely manner:**

Planning Region Team	Number of Files Reviewed	Files Completed Inside Timely Manner	Files Completed Outside Timely Manner	Account for untimely receipt of services
1	6	1		
6	3	3		
7	5	5		
10	12	12		
11	12	12		
12	13	13		
13	10	10		
14	5	5		
15	6	6		
16	6	6		
17	5	5		
19	22	22		
21	16	16		
22	7	7		
25	9	9		
27	8	8		
28	1	1		
<b>Total</b>	146	146		
<b>Percent</b>		<b>100%</b>	<b>0%</b>	<b>0%</b>

**Discussion of Improvement Activities Completed and Explanation of Slippage that occurred for FFY 2012:**

Data indicates these activities have maintained and supported 100% compliance for infants and toddlers who receive early intervention services on their IFSPs in a timely manner. This maintains the target of 100% reported in FFY 2012.

Note: Seventeen Planning Regions were monitored in FFY 2012. The provision of services in a timely manner will continue to be a training/technical assistance topic to ensure that all regions understand the specific regulatory requirements and have strategies in place to ensure compliance. The Co-Leads will continue to conduct tasks as outlined under the improvement activities.

The improvement activities outlined for FFY 2012 were implemented as follows:

1.	Provide training and technical assistance in a variety of venues, such as with school districts, Planning Region Teams, Services Coordinators, families, and others as appropriate, about definition of timely services and documenting reasons for delay in delivery of timely services.
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- Completed and continuing.

2.	<i>Discontinued 2007-2008—encompassed in revised Activity 1.</i>
3.	<i>Discontinued 2007-2008—encompassed in revised Activity 1.</i>

4.	Conduct billing checks for Services Coordination through the CONNECT system.
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- Completed and continuing.

5.	Monitor programs for compliance with this indicator. When noncompliance is identified, Co-Lead staff will work with programs to determine and correct non-compliance.
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- Completed and continuing.

**Discussion of corrected noncompliance identified in FFY 2011:**

In the FFY 2011 APR, Nebraska reported no noncompliance for Indicator 1.

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.

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**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

See [APR Overview](#), Page 1.

**Monitoring Priority: Early Intervention Services In Natural Environments**

**Indicator 2:** Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:** Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

FFY	Measurable and Rigorous Target
<b>2012</b> <i>(2012-2013)</i>	At least 90.5% of infants and toddlers with IFSPs primarily will receive early intervention services in the home or community based settings.

**Actual Target Data for FFY 2012: 97.6%**--target met.

Data for FFY 2012 shows that 97.6% of children B-3 are served in home and community-based settings, which exceeds the target of 90.5% for FFY 2012.

*Nebraska FFY 2012 data for settings in natural environments for infants and toddlers:*

Settings	Number	Percent
Number of infants and toddlers served:	1485	
• Number of infants and toddlers served in homes	1363	91.8%
• Number of infants and toddlers served in community-based settings	86	5.8%
<b>TOTAL number of children served in natural environments</b>	<b>1449</b>	<b>97.6%</b>

*Source: NSSRS October 1, 2012*

**Discussion of Improvement Activities Completed and Explanation of Slippage that occurred for FFY 2012:**

The improvement activities for FFY 2012 were implemented as follows:

1.	Provide training and technical assistance in a variety of venues, such as with school districts, Planning Region Teams, Services Coordinators, families, and others as appropriate, about providing services in home or community-based settings, and in correctly documenting justification on the IFSP for services not provided in natural environments.
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- Completed and continuing.

2.	<i>Discontinued in 2006-2007.</i>
3.	<i>Discontinued in 2007-2008—encompassed in revised Activity 1.</i>
4.	<i>Discontinued in 2007-2008—encompassed in revised Activity 1.</i>

5.	Provide early intervention teams with information on resources to obtain current information on evidence-based practices, e.g., Communities of Practice (COP), and the National Professional Development Center on Inclusion (NPDCI).
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- Completed and continuing.

6.	<i>Completed and discontinued in 2009-2010.</i>
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**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.

## Part C State Annual Performance Plan (APR) for FFY 2012

### Overview of the Annual Performance Report Development:

See [APR Overview](#), Page 1.

#### Monitoring Priority: Early Intervention Services in Natural Environments

**Indicator 3:** Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416 (a)(3)(A) and 1442)

#### Measurement:

Outcomes:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication); and
- C. Use of appropriate behaviors to meet their needs.

#### Progress categories for A, B and C:

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(#infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

**Measurement:** (cont.)

**Summary Statements for Each of the Three Outcomes** (use for FFY 2012 reporting):

**Summary Statement 1:** Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

**Measurement for Summary Statement 1:** Percent = # of infants and toddlers reported in progress category (c) plus # of preschool children reported in category (d) divided by [# of infants and toddlers reported in progress category (a) plus # of infants and toddlers reported in progress category (b) plus # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in progress category (d)] times 100.

**Summary Statement 2:** The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

**Measurement for Summary Statement 2:** Percent = # of infants and toddlers reported in progress category (d) plus [# of infants and toddlers reported in progress category (e) divided by the total # of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.

**Measurable and Rigorous Targets/Actual Target Data for Children Exiting FFY 2012**  
 Nebraska met all of its targets for Part C child outcomes.

SUMMARY STATEMENTS	Targets FFY 2012 (% of children)	Actual FFY 2012 (% of children)
<b>Outcome A: Positive social-emotional skills (including social relationships)</b>		
1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they exited the program	71.9%	66.0%
2. The percent of children who were functioning within age expectations in Outcome A by the time they exited the program	75.1 %	37.1%
<b>Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy)</b>		
1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they exited the program	62.2%	58.5%
2. The percent of children who were functioning within age expectations in Outcome B by the time they exited the program	71.8%	33.7%
<b>Outcome C: Use of appropriate behaviors to meet their needs</b>		
1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they exited the program	75.3%	60.0%
2. The percent of children who were functioning within age expectations in Outcome C by the time they exited the program	70.4%	26.1%

**Progress Data and Summary Statement Data for Infants and Toddlers Exiting FFY 2012**

The charts below contain Nebraska’s child progress data for FFY 2012 (2012-2013) as well as Summary Statement 1 and Summary Statement 2 for infants and toddlers exiting 2012-13. The data in the five progress categories for each of the three Outcomes is calculated and reported in two Summary Statements for each Outcome, using the measurement formulas provided by OSEP.

CHILD PROGRESS DATA FOR FFY 2012	Outcome A: Positive social-emotional skills		Outcome B: Acquisition and use of knowledge and skills		Outcome C: Use of appropriate behaviors to meet their needs	
	# of children	% of children	# of children	% of children	# of children	% of children
a. Percent of infants and toddlers who did not improve functioning.	1	.2% (1/499)	0	0.0% (0/499)	1	.2% (1/499)
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers.	153	30.7% (153/499)	191	38.3% (191/499)	180	36.1% (180/499)
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it.	160	32.1% (160/499)	140	28.1% (140/499)	188	37.7% (188/499)
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	139	32.3% (139/499)	129	31.1% (129/499)	83	16.6% (83/499)
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	46	9.2% (46/499)	39	7.8% (39/499)	47	9.4% (47/499)
TOTAL	499	100%	499	100%	499	100%
<b>SUMMARY STATEMENT DATA FOR FFY 2012</b>						
<b>Summary Statement 1:</b> <b>“Trajectory Changers at Exit”</b>  Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program. <i>OSEP formula: <math>c + d / a + b + c + d \times 100 = SS 1</math></i>	66.0%		58.5%		60%	
<b>Summary Statement 2:</b> <b>“Meeting Age Expectations at Exit”</b>  The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program. <i>OSEP formula: <math>d + e / a + b + c + d + e \times 100 = SS 2</math></i>	37.1%		33.7%		26.1%	

**Nebraska Child Outcome Assessment Process**

In 2006, NDE selected three research-based, authentic online child assessment systems including: Teaching Strategies Creative Curriculum, High/Scope Child Observation Record (COR) and Assessment, Evaluation and Program System (AEPS). In the spring of 2011, the state Results Matter Task Force recommended that NDE adopt a single child outcome measurement system. Teaching Strategies GOLD, a seamless birth through kindergarten system, was selected. NDE required that by Fall 2012 all school districts in Nebraska would be implementing GOLD. School districts were allowed a two-year window in which to transition to GOLD from the three previous systems.

A single system was adopted in order to improve the quality, reliability and validity of the data; streamline the reporting system, and simplify the infrastructure needed to support the implementation process. Scientifically-based cutoff scores defining comparability to same-aged peers were determined by Teaching Strategies (updated in 2011), which maximizes the validity of the data used to report on each of the OSEP early childhood outcomes. NDE is the state administrator of the online subscription agreements between the school districts and Teaching Strategies.

As of August 1, 2013 all school districts were using GOLD; however, some children still had entry data in either COR, Creative Curriculum, or AEPS. Children whose entry data was completed on any of the three “old” assessment systems was not used for this report. This decision was made last year in preparing the FFY2011 APR, when it was found that the conversion scores imported into GOLD from the other assessments were not equivalent. This year, we have an increase in number of children exiting over last year, and expect it to be higher in following years when all children’s entry and exit data will be on GOLD.

A total of 499 children had entry and exit data during FFY 2012.

- 70.7% of the children were male.
- 79.6% were white and 14.6% were Hispanic.
- 89.8% of the children had English as their primary language.
- At exit, the majority (92.6%) of the children were 36 months of age or older.

**Revised Measurable and Rigorous Targets for Children Exiting in FFY 2010 - FFY 2016**

Nebraska child progress data from 2008-09 and 2009-2010 were re-analyzed in Fall 2010 using the new conversions to determine differences and trends across those two years. Based on that analysis and the recommendations of the Results Matter Task Force, the baseline and targets were adjusted as follows:

**Summary Statement 1 (Based on modified conversions)**

	<b>Outcome A</b>	<b>Outcome B</b>	<b>Outcome C</b>
Adj. Baseline FFY2008	70.4%	60.7%	73.8%
Targets FFY2009	70.4%	60.7%	73.8%
Targets FFY2010	70.9%	61.2%	74.3%
Targets FFY2011	71.4%	61.7%	74.8%
Targets FFY2012	71.9%	62.2%	75.3%

**Summary Statement 2 (Based on modified conversions)**

	<b>Outcome A</b>	<b>Outcome B</b>	<b>Outcome C</b>
Adj. Baseline FFY2008	73.6%	64.3%	68.9%
Targets FFY2009	73.6%	64.3%	68.9%
Targets FFY2010	74.1%	64.8%	69.4%
Targets FFY2011	74.6%	71.3%	69.9%
Targets FFY2012	75.1%	71.8%	70.4%

**Strategies to Maximize Data Collection**

NDE implemented a number of technical assistance strategies this past year to support the collection of data for OSEP reporting. In order to minimize missing data, NDE initiated a data checking process during Fall 2012 and Spring 2013. District data was provided to each district for review and validation of accuracy. The review of the data disclosed missing data. School districts completed follow-up steps based on state technical assistance, e.g. providers were requested to finalize their data, administrators completed correct online exit procedures, etc. This process resulted in clarification of procedures and assessment practices at the district/child level. In turn, the process resulted in an increase in the number of children with usable exit data reported for FFY 2012.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:**

None of the targets were met for 2012-2013.

The targets for FFY 2012 were established in FY 2010, based on assessment information from the three state-identified child assessments that were used at that time. Since Nebraska is now only using GOLD, there is a need to re-establish the targets. It is planned that new targets will be established in FFY 2013, when Nebraska will have two full years of statewide GOLD implementation. This will provide more valid and adequate data on which to base new targets.

FFY 2012 is the first year that child outcomes are based only on GOLD entry and exit data. Prior to this the child outcomes data was based on data from the three assessments previously used: AEPS, HighScope COR and GOLD. When the data from FFY2012 was first analyzed in September 2013, it was noted that the findings were quite discrepant from previous years. Summary Statement 1 and Summary Statement 2 results were significantly lower than previous year’s results and targets. In FFY2011, there was limited GOLD entry and exit data, so when combined with the other two assessment data, GOLD results did not impact the overall findings. The higher entry/exit scores on AEPS and COR offset the lower numbers on GOLD.

Improvement activities outlined for 2012-2013 were implemented as follows:

1.	Collaborate with local agencies and stakeholders in providing technical assistance and professional development for the implementation of the state framework for child outcomes known as Results Matters. This includes requiring each LEA to implement a Results Matter Fidelity Plan (formerly known as an inter-rater reliability plan) to ensure quality assurance and monitoring procedure
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- Completed and continuing

2.	Disseminate resources and provide training and technical assistance in a variety of venues to assist professionals and families and others as appropriate with requirements and best practice regarding child outcomes.
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- Completed and continuing

3.	Collection and analysis of data related to infant-toddler outcomes.
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- Completed and continuing

4.	Implementation and maintenance of the state’s framework and work plan for child outcomes known as Results Matter.
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- Completed and continuing

**Revisions with Justification to Proposed Targets/Improvement Activities/Timelines/Resources for FFY 2012.**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

See [APR Overview](#), Page 1.

**Monitoring Priority: Early Intervention Services In Natural Environments**

**Indicator 4:** Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:**

- A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.
- B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.
- C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

FFY	Measurable and Rigorous Target
<p><b>2012</b> (2012-2013)</p>	<p>A. Know their rights: 74% *</p> <p>B. Effectively communicate their children's needs: 71% *</p> <p>C. Help their children develop and learn: 84% *</p> <p>* Targets are based on revised baselines determined in FFY2006 APR.</p>

**Actual Target Data for FY 2012:**

Indicator 4A: **83.8%** - Target Met  
 Indicator 4B: **80.5%** - Target Met  
 Indicator 4C: **93.3%** - Target Met

For the FFY 2012 APR, the statewide family survey was conducted in the spring of 2013 with all of families in the state who had received early intervention services from July 1, 2012 to June 30, 2013.

Out of the 1417 hand-delivered Part C family surveys distributed, 930 surveys were returned, yielding a 65.5% response rate. From this total of 930 surveys, 928 had useable responses. This is a slight decrease by 14 useable responses from the previous year. The percentages reported are calculated as the percent of families whose measures are at or above a standard that is specific to each Indicator (Indicator 4A, 4B, and 4C). The measures are based on the Impact on Family Scale (IFS). For this sample, the Rasch Analysis was used and the scale reliability was estimated at .88. The mean IFS measure was 730.5.

Applying the standard adopted by Nebraska Part C, corresponding to measures of 538.9, 555.9, and 516.1 for sub-indicators 4a, 4b, and 4c, respectively, the percent of agreement by surveyed families to be reported to OSEP are:

**Indicator 4a:** 778/928 = 83.8%      95% Confidence Interval: 81.29% - 86.03%  
**Indicator 4b:** 747/928 = 80.5%      95% Confidence Interval: 77.83% - 82.92%  
**Indicator 4c:** 866/928 = 93.3%      95% Confidence Interval: 91.51% - 94.74%

For each Indicator measure, the percent is calculated by taking the number of valid responses that answered “strongly agree” or “very strongly agree” and dividing it by the total number of valid surveys received. Therefore:

$$4A = \frac{778}{928} = 83.8\% \qquad 4B = \frac{747}{928} = 80.5\% \qquad 4C = \frac{866}{928} = 93.3\%$$

**SUMMARY OF FINDINGS**

	2008-2009	2009-2010	2010-2011	2011-2012	2012-2013	Change from 2011-2012	Met Target?
<b>IFS Mean</b>	690.7	693.5	699.7	713.6	730.5	+16.9	
<b>Indicator 4A</b>	77.2	77.9	78.4	82.1	83.8	+1.7	Yes
<b>Indicator 4B</b>	73.4	75.0	74.4	79.9	80.5	+0.6	Yes
<b>Indicator 4C</b>	88.1	89.3	88.9	91.3	93.3	+2.0	Yes

**Discussion of Improvement Activities Completed and Explanation of Slippage that occurred for FFY 2012:**

The state continues to meet the targets for Indicators 4A, 4B, and 4C. The state attributes meeting the targets to a strong belief in the importance of family involvement all areas in Early Intervention. The state is pleased to report progress on all three Indicators. Additionally, from 2011-2012 to 2012-2013, the IFS Mean rose almost 17 points on a scale ranging from 200 to 800 points.

Representativeness:

Based on highly successful return rates in past years, Nebraska continued to use a personalized introductory letter to families before delivering the survey, a follow-up postcard to families, and personal contacts by local representatives to remind families to return the survey. This year the return rate is 65.5%; approximately 2.5% higher than last year.

Nebraska also attempted to ensure representativeness by hand-delivering the survey to every family enrolled in Part C. Families identified as Spanish-speaking received all survey materials translated into Spanish and a toll-free phone number that was answered by a Spanish translator who could answer questions or read the survey to the family. A separate toll-free phone number was provided through PTI-Nebraska for families who speak other languages or those needing special assistance in reading and/or understanding the survey questions. The Nebraska survey also asks families to identify their race/ethnicity, child’s age, length of time in program, and if the family had selected to use a DHHS Services Coordinator.

Additional analyses conducted by Dr. Batya Elbaum revealed:

- There were no statistically significant differences across groups for the following variables:
  - child’s age at time of survey completion,
  - length of time in early intervention,
  - receiving a questionnaire in Spanish, or
  - race/ethnicity.
- Statistically significant differences were found across Planning Region Teams (PRTs). PRTs with the highest IFS scores were statistically different from PRTs with the lowest IFS scores.
- The Mean IFS was significantly higher for families who self-reported that they had a Services Coordinator (M = 735) than for those who self-reported that they did not have a Services Coordinator (M = 685).
- Differences among families living in a large city, town/suburb or rural area were significant. Families in areas defined as town/suburb had the highest mean IFS measure (M = 745) then large city (M = 726), and then followed by rural (M = 703). A possible explanation is that the rural population is less likely to have the opportunity to receive services with their peers and the urban population has a higher likelihood of being disadvantaged. Both of these factors may lead to the suburban population being most satisfied.
- Families who agreed with the survey item, “My child receives services with children without disabilities of the same age” had a significantly higher mean IFS measure (M = 759) than families who disagreed (M = 649).

**The Improvement Activities outlined for FFY 2012 were implemented as follows:**

1.	Conduct <i>NCSEAM Part C Family Survey</i> , compile and analyze results, and disseminate data.
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- Completed and continuing.
  - The results of the survey were collected by the Co-Leads and Westat and analyzed by Dr. Batya Elbaum, Ph.D. to be reported in the FFY2012 APR.
  - Dr. Batya Elbaum and Dr. Haidee Bernstein prepared an analysis of the data for the 28 Planning Region Teams.

2.	Report <i>NCSEAM Part C Family Survey</i> results in the Part C-APR.
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- Completed and continuing.

3.	Developed and disseminated <i>A Family’s Guide to Early Intervention Services in Nebraska</i> ( <a href="http://edn.ne.gov/pdf/EDN-Family-Resource-Guide.pdf">http://edn.ne.gov/pdf/EDN-Family-Resource-Guide.pdf</a> )
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- Completed and continuing.

4.	Conducted a series of trainings for families on Family Rights, Safeguards and Resources
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- Continuing. PTI and Munroe-Meyer provided multiple trainings regarding Part C Family Rights and Safeguards targeted to families.
  - Trainings covered a wide variety of topics
  - Trainings were provided at locations across the state
  - Training curricula were developed with the intent to inform and empower families/caregivers
  - Where feasible, trainings were made available on the EDN website
  - Some trainings were targeted specifically to American Indian families
- Continued to disseminate DVD entitled “Understanding Family Rights in Nebraska’s Early Development Network” to all families referred to the Early Development Network. (<http://edn.ne.gov/parentrights.html>)

5.	<i>Discontinued in 2010-2011</i>
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6.	Conduct the <i>ABC Leadership Training</i>
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- Completed and continuing. PTI conducts trainings for parents across the state.
  - Trainings focus on increasing parent involvement in Planning Region Teams and the state ICC
  - Trainings are intended to ensure that families understand their rights in Early Intervention
  - Trainings covered a wide variety of topics
  - Trainings were provided at locations across the state
  - Trainings are intended to ensure that families understand their rights in Early Intervention and their role in serving on PRTs and the state ICC
  - Both families and, in some instances, professionals who attended the trainings reports they were satisfied with the trainings to a nearly unanimous extent

7.	Provide resource support and technical assistance to the Boys Town Family Care Enhancement Program for children with disabilities and special health care needs and their families
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- A collaborative project was originally developed by the Co-Leads and the Boys Town Institute for Child Health Improvement in order to improve communication, care coordination, and the referral process between the EDN and pediatric medical care practices through the use of Parent Resource Coordinators (PRCs). The project not only provided needed services to medical practitioners and families, it also benefited state Child-Find efforts. This project is now being overseen by PTI-Nebraska. Data collection from this project is being utilized to further validate the model and explore opportunities to enhance and expand the project. For example, new sites are in the process of being developed with the goal of making these services more available to Spanish-speaking and Native American families.

**Revisions, with Justification, to Proposed Targets/Improvement Activities /Timelines/ Resources for FFY 2012:**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.

Resources: *Munroe-Meyer Institute*  
*BoysTown*  
*Westat*  
*PTI Nebraska*

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**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

See APR Overview, Page 1.

**Monitoring Priority: Effective General Supervision Part C / Child Find**

**Indicator 5:** Percent of infants and toddlers birth to 1 with IFSPs compared to national data.  
(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**  
Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
<b>2012</b> <i>(2012-2013)</i>	At least 0.77% of children aged birth to one with IFSPs will be identified.

**Actual Target Data for FFY 2012: 0.57%**--target not met.

Based on the October 1, 2012 child count data, 149 infants birth to age one were served by the Early Development Network (EDN).

Year of Data Collection	2012	Percentage served
Number served of Infants and Toddlers, Birth to age 1	149	0.57%

Percent of infants and toddlers birth to 1 with IFSPs compared to National Data.

*2012 Comparison of percentage served infants birth to age 1 (excluding children at risk), with national baseline.*

Infants and Toddlers, Birth to age 1	Number served	Percentage of population
Nebraska	149	.57%
National (50 states and D.C.)	42,225	1.06%

*Source: Received from U. S. Department of Education, Office of Special Education Programs, Data Analysis System (DANS )in accordance with Part C 2012; U.S. Bureau of the Census. Population data for 2012 accessed November 2013 from <http://www.census.gov/popest/states/asrh/files/SC-EST2008-ALLDATA6-ALL.CSV>.*

**Discussion of Improvement Activities Completed and Explanation of Slippage that occurred for FFY 2012:**

Data for FFY 2012 shows that Nebraska did not meet its proposed target of 0.77%. The 0.57% reported in FFY 2012 shows a decrease from the 0.68% reported in FFY 2011. Nebraska has and continues to intensify efforts to expand Child Find activities to targeted audiences utilizing Part C funding and continued to build on other successful initiatives.

The improvement activities outlined for FFY 2012 were implemented as follows:

1.	Completed (2005-2006)
2.	Completed (2005-2006)

3.	Conduct Child Find activities*, review Planning Region Teams for progress or slippage, and build on successful initiatives.
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- All Child Find activities were completed and are continuing.  
\*Child Find activities are listed in the State Performance Plan (SPP).
- During FFY 2012 the Munroe-Meyer Institute continued Project DOCC (Delivery of Chronic Care) to include Allied Health trainees, in addition to Medical Residents, for training on early identification and family-centered long-term care.
- During FFY 2012 via local level trainings and technical assistance conducted by the Co-Leads, the use of the Developmental Delay category continued to be recommended as an appropriate category to verify infants for Part C who are identified as affected by illegal substance abuse or withdrawal symptoms resulting from prenatal drug exposure, or infants/toddlers having a diagnosis of Failure to Thrive.
- During FFY 2012 collaborated with and provided training/technical assistance regarding the Part C program and referral process to Nebraska’s Birth to Three Endowment/Sixpence Programs.
- During FFY 2012 the Co-Leads provided each Planning Region Team with financial support via a grant to support targeted local Child Find efforts.
- During FFY 2012 the Co-Leads provided financial support and technical assistance to Regional Training Coordinators across the state to provide trainings to child care and community programs regarding the Part C program and referral process.
- During FFY 2012 the Co-Leads provided funding to the BoysTown National Research Hospital for additional Parent Partner Consultants in Pediatric Medical offices across the state to assist parents in coordinating care for their infants/toddlers with special health care needs. The Parent Partners assist parents in making referrals to Early Intervention and necessary follow-up to ensure appropriate services are provided to the high-needs infant/toddler. These Parent Partners also assure collaboration/communication occurs between the local EI program and the primary care physician to achieve the best outcomes for infants/toddlers.
- Distributed a variety of specific child find materials for the Native American community.
- During FFY 2012 the Co-Leads sponsored a series of workshops for infant mental health psychologists/practitioners, and school psychologists regarding the utilization of the Diagnostic and Classification 0-3R Manual. This 2 day and 1 day follow-up workshop was presented by Dr. Karen Frankel, national expert and trainer associated with the National Zero to Three Organization.

- During FFY 2012 the Co-Leads, in partnership with Nebraska Answers4families, maintained an App for mobile devices, entitled “Staying on Track”.
- During FFY 2012, the Co-leads cosponsored, along with the UNL-CCFL, a 2 day training regarding utilization of Ages and Stages Questionnaire (ASQ) and ASQ-Social Emotional screening tools. This training was attended by infant mental health therapists and EDN providers.

4.	Discontinued 2007-2008—encompassed in revised Activity 3.
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5.	Continue to build on CAPTA collaboration between Child Protection and Safety workers and the Early Development Network.
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- In partnership with University of Nebraska-Lincoln (UNL) Center for Children, Families, and the Law, the State Part C Coordinators made presentations to raise awareness about the Early Intervention process in conjunction with Helping Babies from the Bench during FFY 2012. This statewide training targets judges, medical and mental health personnel, Child Protection Services personnel, attorneys, families, special education staff and Services Coordinators.
- During FFY 2012 State Part C Coordinators continued to meet with Services Coordinators and Protection and Safety Workers across the state to promote networking, increase CAPTA referrals to Part C, and to discuss verification rates and state policies and procedures in relation to CAPTA.
- During FFY 2012 the Co-Leads co-sponsored, with UNL Center for Children, Families and the Law, a Bridges out of Poverty two-day training with key presenter, Jodi Pfarr, of Minneapolis, MN, national expert on working with families in poverty. This conference focused on the impact of poverty on families and strategies for professionals on how to work collaboratively with families in poverty in order to achieve outcomes for young children. The target audience was school district MDT/IFSP teams, mental health practitioners, Migrant recruiters/coordinators, Child Protection Services personnel, Child Welfare/Juvenile Court judges and attorneys, Child Care providers, foster parents and service providers in the child welfare system, Early Head Start and Head Start providers, and services coordinators.
- During FFY 2012 the Co-Leads sponsored a series of forums across the state which focused on the social-emotional development of infants and toddlers and strategies to support families. These forums were facilitated by Dr. Mark Hald, child and family psychologist in Nebraska. The target audience was school district MDT/IFSP teams, mental health practitioners, Child Protection Services personnel, foster parents, Juvenile court attorneys, migrant recruiters/coordinators, child care providers, Early Head Start and Head Start, and services coordinators.

6.	<i>Discontinued 2007-2008—encompassed in revised Activity 3.</i>
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7.	<i>Completed in 2007-2008.</i>
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8.	Improve CONNECT data collection and data entry.
<ul style="list-style-type: none"> <li>• Completed and continuing.</li> <li>• Continued implementation of the automated electronic CAPTA referral process between the NDHHS Children &amp; Family Services and CONNECT databases.</li> </ul>	
9.	Collaborate with Early Hearing Detection and Intervention Program (EHDI—previously Newborn Hearing Screening) on an enhanced referral process for identified newborns with a hearing loss.
<ul style="list-style-type: none"> <li>• Completed and continuing.</li> </ul>	
10.	Provide resource support and technical assistance to the BoysTown Family Care Enhancement Program for children with disabilities and special health care needs and their families
<ul style="list-style-type: none"> <li>• A collaborative project between the Co-Leads and BoysTown Institute for Child Health Improvement to improve communication, care coordination, and the referral process between the EDN and pediatric medical care practices through the use of Parent Resource Coordinators (PRC).</li> </ul>	

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

See APR Overview, Page 1.

**Monitoring Priority: Effective General Supervision Part C / Child Find**

**Indicator 6:** Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
<b>2012</b> (2012-2013)	At least 1.77% of children aged birth to three with IFSPs will be identified.

**Actual Target Data for FFY 2012: 1.88%--target met.**

Based on the October 1, 2012 child count data, 1485 infants and toddlers birth to age three were served by the EDN.

Year of Data Collection	2012	Percentage served
Number served of infants and toddlers, birth to age 3	1485	1.88%

Percent of infants and toddlers birth to 3 with IFSPs compared to National Data

*2012 Comparison of percentage served infants and toddlers, birth to age 3 (excluding children at risk), with national baseline.*

Infants and Toddlers, Birth to age 3	Number served	Percentage of population
Nebraska	1485	1.88%
National (50 states and D.C.)	333,542	2.77%

*Source: Received from U. S. Department of Education, Office of Special Education Programs, Data Analysis System (DANS) in accordance with Part C 2012; U.S. Bureau of the Census. Population data for 2012 accessed November 2013 <http://www.census.gov/popest/states/asrh/files/SC-EST2008-ALLDATA6-ALL.CSV>.*

**Discussion of Improvement Activities Completed and Explanation of Slippage that occurred for FFY 2012:**

Data for FFY 2012 shows that Nebraska exceeded its proposed target of 1.77%.

The improvement activities outlined for FFY 2012 were implemented as follows:

1.	Completed (2005-2006)
2.	Completed (2005-2006)
3.	Conduct Child Find activities*, review Planning Region Teams for progress or slippage, and build on successful initiatives.

- All Child Find activities were completed and are continuing.  
\*Child Find activities are listed in the State Performance Plan (SPP).
- The Munroe-Meyer Institute expanded Project DOCC (Delivery of Chronic Care) to include Allied Health trainees, in addition to Medical Residents, for training on early identification and family-center long-term care issues.
- During FFY 2012, via local level trainings and technical assistance conducted by the Co-Leads, the use of the Developmental Delay category continues to be recommended as an appropriate category to verify infants for Part C who are identified as affected by illegal substance abuse or withdrawal symptoms resulting from prenatal drug exposure, or infants/toddlers having a diagnosis of Failure to Thrive.
- Collaborate with and provide training/technical assistance regarding the Part C program and referral process to Nebraska’s Birth to Three Endowment/Sixpence Programs during FFY 2012.
- The Co-Leads provide each Planning Region Team with financial support through grants to support targeted local Child Find efforts during FFY 2012.
- The Co-Leads provided financial support and technical assistance to Regional Training Coordinators across the state to provide trainings to child care and community programs regarding the Part C program and referral process during FFY 2012.
- During FFY 2012 the Co-Leads provided funding to the BoysTown National Research Hospital to provide additional Parent Partner Consultants in Pediatric Medical offices across the state to assist parents in coordinating care for their infants/toddlers with special health care needs. The Parent Partners assist parents in making referrals to Early Intervention and necessary follow-up to ensure appropriate services are provided to the high-needs infant/toddler. These Parent Partners also assure collaboration/communication occurs between the local EI program and the primary care physician to achieve the best outcomes for infants/toddlers.
- During FFY 2012 the Co-Leads sponsored a series of workshops for infant mental health psychologists/practitioners, and school psychologists regarding the utilization of the Diagnostic and Classification 0-3R Manual. This 2 day and 1 day follow-up workshop was presented by Dr. Karen Frankel, national expert and trainer associated with the National Zero to Three Organization.
- During FFY 2012 the Co-Leads, in partnership with Nebraska Answers4families, maintained an App for mobile devices entitled “Staying on Track.”

- During FFY 2012, the Co-leads co-sponsored, along with the UNL-CCFL, a two-day training regarding utilization of Ages and Stages Questionnaire (ASQ) and ASQ-Social Emotional screening tools. This training was attended by infant mental health therapists and EDN providers.
- During FFY 2012 a variety of specific Child Find materials for the Native American community were distributed.

4.	<i>Discontinued 2007-2008—encompassed in revised Activity 3.</i>
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5.	Continue to build on CAPTA collaboration between Child Protection and Safety workers and the Early Development Network.
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- In partnership with University of Nebraska-Lincoln (UNL) Center for Children, Families, and the Law, the State Part C Coordinators made presentations to raise awareness about the Early Intervention process in conjunction with Helping Babies from the Bench. This statewide training targets judges, medical and mental health personnel, Child Protection Services personnel, attorneys, families, special education staff and Services Coordinators.
- During FFY 2012 State Part C Coordinators continued to meet with Services Coordinators and Protection and Safety Workers across the state to promote networking, increase CAPTA referrals to Part C, and to discuss verification rates and state policies and procedures in relation to CAPTA.
- During FFY 2012 the Co-Leads co-sponsored, along with UNL-Center for Children, Families and the Law, a Bridges out of Poverty 2 day training with key presenter, Jodi Pfarr, of Minneapolis, MN, national expert on working with families in poverty. This conference focused on the impact of poverty on families and strategies for professionals on how to work collaboratively with families in poverty in order to achieve outcomes for young children. The target audience was school district MDT/IFSP teams, mental health practitioners, Migrant recruiters/coordinators, Child Protection Services personnel, Child Welfare/Juvenile Court Judges and attorneys, Child Care providers, foster parents and service providers in the child welfare system, Early Head Start and Head Start providers, and services coordinators.
- During FFY 2012 the Co-Leads sponsored a series of regional workshops across the state which focused on the social-emotional development of infants and toddlers and strategies to support families. These workshops were facilitated by Dr. Mark Hald, child and family psychologist in Nebraska. The target audience was school district MDT/IFSP teams, mental health practitioners, Child Protection Services personnel, foster parents, Juvenile court attorneys, migrant recruiters/coordinators, child care providers, Early Head Start and Head Start, and services coordinators.

6.	<i>Discontinued 2007-2008—encompassed in revised Activity 3.</i>
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7.	<i>Completed in 2007-2008.</i>
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8.	Improve CONNECT data collection and data entry.
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- Completed and continuing.

- Continued implementation of the automated electronic CAPTA referral process between the NDHHS Children & Family Services and CONNECT databases.

9.	Collaborate with Early Hearing Detection and Intervention Program (EHDI—previously Newborn Hearing Screening) on an enhanced referral process for identified newborns with a hearing loss.
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- Completed and continuing.

10.	Provide resource support and technical assistance to the BoysTown Family Care Enhancement Program for children with disabilities and special health care needs and their families
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- A collaborative project between the Co-Leads and BoysTown Institute for Child Health Improvement to improve communication, care coordination, and the referral process between the EDN and pediatric medical care practices through the use of Parent Resource Coordinators (PRC).

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

See [APR Overview](#), Page 1.

**Monitoring Priority: Effective General Supervision Part C / Child Find**

**Indicator 7:** Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline) divided by the (# of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings including the reasons for delays. *(Not required for FFY 2008)*

FFY	Measurable and Rigorous Target
<p><b>2012</b> <i>(2012-2013)</i></p>	<p>100% of eligible infants and toddlers with IFSPs will receive an evaluation and assessment and an initial IFSP meeting within Part C’s 45-day timeline.</p>

**Actual Target Data for FFY 2012: 95.9% – target not met**

In FFY 2012, 17 Planning Regions were reviewed for a total of 146 files. The files reviewed included those infants and toddlers who were referred and determined eligible for early intervention services. A total of 123 infant and toddler files showed the IFSP to be in compliance with the 45-day timeline. In 23 of the files the 45-day timeline was not met; of these, 17 files were documented to indicate delay was due to exceptional family circumstances. The Co-Leads notified the EIS programs in writing concerning the findings of noncompliance and the requirement that the noncompliance be corrected as soon as possible but in no case more than one year from identification. The State has verified that the EIS programs are correctly implementing the specific regulatory requirements and have ensured that the child received an initial evaluation, assessment and IFSP meeting, although late, and the services listed on the IFSP within a timely manner from the IFSP meeting.

**2012-2013 IFSP file reviews for compliance with the 45-day timeline**

Planning Region Team	# of Files Reviewed	# of Files in compliance	<i>(Files in compliance with delay due to exceptional family circumstances)</i>	45-day timeline not met
1	6	6	0	0
6	3	3	0	0
7	5	5	0	0
10	12	12	0	0
11	12	10	1	1
12	13	13	0	0
13	10	10	0	0
14	5	5	0	0
15	6	3	3	0
16	6	4	2	0
17	5	5	0	0
19	22	10	7	5
21	16	16	0	0
22	7	6	1	0
25	9	9	0	0
27	8	5	3	0
28	1	1	0	0
<b>Total</b>	146	123	17	6
<b>Percentage</b>		84.3%	11.6%	4.1%

**Discussion of Improvement Activities Completed and Explanation of Slippage that occurred for FFY 2012:**

Seventeen of the Planning Regions participated in the file reviews in FFY 2012. For FFY 2012, 95.9% of the files reviewed were in compliance regarding the MDT/IFSP timeline.

In each case of noncompliance, the Co-Leads notified the EIS program in writing concerning the finding of noncompliance and the requirement that the noncompliance be corrected as soon as possible, but in no case more than one year from identification. In the regions where the 45-day timeline was not met, the Co-Leads provided technical assistance on appropriate documentation for delay in meeting the IFSP 45-day timeline. As the 17 Planning Regions monitored comprise a majority of the total in the state (28), it appears that compliance with the 45-day timeline is at a reasonably high level. Because this timeline has such a significant impact on the provision of services to infants and toddlers, the Co-Leads believe that it is important to continue training to ensure that all EIS programs correctly implement the specific regulatory requirements and have strategies in place to ensure compliance. The Co-Leads will continue to conduct additional professional development as outlined under the improvement activities.

Slippage can be attributed to:

In cases where the 45-day timeline was not met, it was exceeded only by a few days. The State has verified that the EIS programs are correctly implementing the specific regulatory requirements and have ensured that the child received an initial evaluation, assessment and IFSP meeting, although late, and the services listed on the IFSP within a timely manner from the IFSP meeting.

The following improvement activities outlined for FFY 2012 were implemented as follows:

1.	<i>Completed (2005-2006)</i>
2.	<i>Completed (2005-2006)</i>

3.	Collaborate with local agencies to complete IFSPs within 45 days.
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- Completed and continuing.
- Ongoing meetings and trainings were held statewide to bring together Protection and Safety personnel, Services Coordinators, and school districts to discuss local issues with CAPTA referrals and the 45-day timeline requirement.

4.	Provide training and technical assistance in a variety of venues, such as with school districts, Planning Region Teams, Services Coordinators, families, and others as appropriate, on documenting exceptional circumstances if IFSPs are not completed within 45 days.
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- Completed and continuing.

5.	<i>Discontinued 2007-2008—encompassed in revised Activity 4.</i>
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6.	Distribute 45-day projection calendars to Services Coordinators and school districts, which allow agencies to have a reference to the 45-days from the referral date.
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- Completed and continuing.

7.	<i>Discontinued 2007-2008—encompassed in revised Activity 4.</i>
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8.	<i>Discontinued 2007-2008—encompassed in revised Activity 4.</i>
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9.	Collaborate with Answers4Families in order to update IFSP web site as needed.
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- Completed and continuing

10.	<i>Discontinued (2005-2006)</i>
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11.	<i>Discontinued (2006-2007)</i>
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12.	Provide training to Planning Region Teams on ways to generate reports from CONNECT to enable them to produce their own reports as needed to assess their region for compliance with the 45-day timeline.
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- Completed and continuing.

13.	Monitor programs for compliance with this indicator. When noncompliance is identified, Co-Lead staff will work with programs to determine and correct noncompliance.
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- Completed and continuing. All agencies reviewed in FFY 2011 were found to be in compliance with this indicator or were required to correct noncompliance as soon as possible but in no case more than one year from identification.

**Correction of FFY 2011 Findings of Noncompliance (if State reported less than 100% compliance):**

Level of compliance (actual target data) State reported for FFY 2011 for this indicator: 97.8%

1. Number of findings of noncompliance the State made during FFY 2011 (the period from July 1, 2011, through June 30, 2012)	4
2. Number of FFY 2011 findings the state verified as timely corrected (corrected within one year from the date of identification to the EIS program of the finding.)	4
3. Number of FFY 2011 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

Verification of Correction (either timely or subsequent):

The Co-Leads notified each EIS program in writing concerning the finding of noncompliance and the requirement that the noncompliance be corrected as soon as possible, but in no case more than one year from identification. The State verified that each EIS program not in compliance correctly implemented the specific regulatory requirement and ensured that all children received an evaluation, assessment, and IFSP meeting, although late, and the services listed on the IFSP in a timely manner as noted in the FFY 2011 APR. Each EIS program was required to develop and implement a Corrective Action Plan to ensure correction of noncompliance within one year. The Co-Leads monitored the implementation of the Corrective Action Plan. In addition, the Co-Leads reviewed different files from those previously reviewed or reviewed the state data base for newly-referred children for assurance that compliance was met and CAP-related processes, as well as specific regulatory requirements, were implemented. Within one year of identification each EIS program was found to be in 100% compliance in meeting the 45-day timeline.

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.



**2012-2013 IFSP file reviews for compliance with transition plan requirements**

Planning Region Team	# of Transition Files Reviewed	8A: # of Files In Compliance	8A: # of Files Out of Compliance	8B: # of Files In Compliance	8B: # of Files Out of Compliance	8C: # of Files In Compliance	8C: # of Files Out of Compliance
1	1	1	0	1	0	1	0
7	2	2	0	2	0	1	1
10	4	4	0	4	0	4	0
11	6	6	0	6	0	6	0
12	7	7	0	7	0	7	0
13	3	2	1	3	0	2	1
14	3	2	1	3	0	3	0
15	3	3	0	3	0	3	0
16	4	4	0	4	0	4	0
17	1	1	0	1	0	1	0
19	8	7	1	8	0	7	1
21	7	7	0	7	0	6	1
22	4	4	0	4	0	4	0
25	2	2	0	2	0	2	0
27	3	3	0	3	0	3	0
<b>Total</b>	58	55	3	58	0	54	4
<b>Percentage</b>		94.8%	5.2%	100%		93.1%	6.9%

**Discussion of Improvement Activities Completed and Explanation of Slippage that occurred for FFY 2012:**Indicator 8A:

The results of 94.8% reported in FFY 2012 show improvement from the 94% reported in FFY 2011.

Indicator 8B:

Data shows that Nebraska met its proposed target of 100%, which remains unchanged from FFY 2011.

Indicator 8C:

The results of 93.1% reported in FFY 2012 shows significant improvement from the 84% reported in FFY 2011.

Fifteen of the Planning Regions participated in the IFSP file reviews in FFY 2012. Significant improvement was demonstrated in 8A and 8C since FFY 2011.

In each case of noncompliance, the Co-Leads notified that EIS program in writing concerning the finding of noncompliance and the requirement that the noncompliance be corrected as soon as possible, but in no case more than one year from identification. In the regions where transition plans did not contain appropriate documentation of transition plan steps and services, the Co-Leads provided technical assistance on appropriate documentation of transition plans for children exiting Part C. The requirements and appropriate documentation of transition plans for children exiting Part C will continue to be a training topic to ensure that all EIS programs correctly implement the specific regulatory requirements and have strategies in place to ensure

compliance. The Co-Leads will continue to conduct additional tasks as outlined under the improvements activities. The state has verified that each EIS program is correctly implementing the specific regulatory requirements and ensured that all children, who had not exited Part C, were provided with appropriate transition plans documenting all necessary transition steps and services prior to the children exiting Part C.

The improvement activities outlined for FFY 2012 were implemented as follows:

1.	Monitor programs for compliance with this indicator. When noncompliance is identified, Co-Leads staff will work with programs to determine and correct non-compliance.
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- Completed and continuing. All agencies reviewed in FFY 2012 were found to be in compliance with this indicator, or were required to correct non-compliance.

2.	<i>Discontinued 2007-2008—encompassed in revised Activity 1.</i>
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3.	Provide training and technical assistance in a variety of venues, such as with school districts, Planning Region Teams, Services Coordinators, families, and others as appropriate, about providing transition services, and correctly documenting steps, services, and notification on the transition plan.
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- Completed and continuing.

4.	<i>Discontinued 2007-2008—encompassed in revised Activity 3.</i>
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5.	<i>Discontinued 2007-2008—encompassed in revised Activity 3.</i>
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**Correction of FFY 2011 Findings of Noncompliance (if State reported less than 100% compliance):**

Level of compliance (actual target data) State reported for FFY 2011 for Indicator 8A: 94%

1. Number of findings of noncompliance the State made during FFY 2011 (the period from July 1, 2011, through June 30, 2012)	<b>5</b>
2. Number of FFY 2011 findings the State verified as timely corrected (corrected within one year from the data of notification to the EIS program of the finding)	<b>5</b>
3. Number of FFY 2011 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	<b>0</b>

**Verification of Correction (either timely or subsequent):**

The Co-Leads notified each EIS program in writing concerning the finding of noncompliance and the requirement that the noncompliance be corrected as soon as possible, but in no case more than one year from identification. The State verified that each EIS program not in compliance correctly implemented the specific regulatory requirement and ensured that all children were provided appropriate transition plans with all necessary transition steps/services prior to these children exiting Part C as noted in the FFY 2011 APR. In FFY 2011, due to lack of documentation regarding family transition steps and services, two Planning Regions were found to be in noncompliance for Indicator 8A only. Each EIS program not in compliance was required to develop and implement a corrective action plan to ensure correction of non-compliance within one year. The Co-Leads monitored the implementation of the action plans and reviewed different files from those previously reviewed of newly referred children for assurance that compliance was met and CAP-related processes, as well as specific regulatory requirements, were implemented.

Within one year of identification, each EIS program was found to be in 100% compliance in meeting the specific regulatory requirement.

- There were no findings of noncompliance in 2011-2012 for Indicator 8-B.

**Correction of FFY 2011 Findings of Noncompliance (if State reported less than 100% compliance):**

Level of compliance (actual target data) State reported for FFY 2011 for Indicator 8C: 84%

1. Number of findings of noncompliance the State made during FFY 2011 (the period from July 1, 2011, through June 30, 2012)	14
2. Number of FFY 2011 findings the State verified as timely corrected (corrected within one year from the data of notification to the EIS program of the finding)	14
3. Number of FFY 2011 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

**Verification of Correction (either timely or subsequent):**

The Co-Leads notified each EIS program in writing concerning the finding of noncompliance and the requirement that the noncompliance be corrected as soon as possible, but in no case more than one year from identification. The Co-Leads verified that each EIS program not in compliance correctly implemented the specific regulatory requirement and ensured that a transition conference was held prior to the child exiting Part C. Each EIS program not in compliance was required to develop and implement a corrective action plan to ensure correction of non-compliance within one year. The Co-Leads monitored the implementation of the action plans and reviewed different files from those previously reviewed of newly referred children for assurance that compliance was met and CAP-related processes, as well as specific regulatory requirements, were implemented. Within one year of identification, each EIS program was found to be in 100% compliance in meeting the specific regulatory requirement.

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.

*[Reported using the Optional Template provided by OSEP]*  
**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

See APR Overview, Page 1.

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 9:** General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

States are required to use the “Indicator 9 Worksheet” to report data for this indicator.

FFY	Measurable and Rigorous Target
<b>2012</b> <i>(2012-2013)</i>	100% of noncompliance issues identified through monitoring, complaints, or due process were corrected and compliance met, within the one-year timeline.

**Actual Target Data for FFY 2012: 100% - target met**

(Target data for FFY 2012 is the percent shown in the last row of the Indicator C-9 Worksheet [column (b) sum divided by column (a) sum times 100]) See Indicator C-9 Worksheet following.

Indicator C-9 Worksheet: Summary of findings and correction reported in 2011-2012

Indicator/ Indicator Clusters	General Supervision System Components:	# of EIS programs issued findings in FFY 2011 (7/1/11 to 6/30/12)	(a) : # of findings of noncompliance identified in FFY 2011 (7/1/11 to 6/30/12)	(b) : # of findings of noncompliance from (a) for which correction was verified no later than one year from identification
1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.	<i>Monitoring Activities:</i>	0	0	0
	<i>Dispute Resolution, Complaints, Hearings</i>	0		
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	<i>Monitoring Activities:</i>	0	0	0
	<i>Dispute Resolution, Complaints, Hearings</i>	0		
3. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes	<i>Monitoring Activities:</i> Child outcomes measurement (Nebraska results matter)	0	0	0
	<i>Dispute Resolution, Complaints, Hearings</i>	0		
4. Percent of families participating in Part C who report that early intervention services have helped the family: a. Know their rights b. Effectively communicate their children's needs c. Help their children develop and learn.	<i>Monitoring Activities:</i>	0	0	0
	<i>Dispute Resolution, Complaints, Hearings</i>	0		
5. Percent of infants and toddlers birth to 1 with IFSPs 6. Percent of infants and toddlers birth to 3 with IFSPs	<i>Monitoring Activities:</i>	0	0	0
	<i>Dispute Resolution, Complaints, Hearings</i>	0		
7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	<i>Monitoring Activities:</i>	6	201	201
	<i>Dispute Resolution, Complaints, Hearings</i>	0		
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:	—	—	—	—
8A. IFSPs with transition steps and services	<i>Monitoring Activities:</i>	4	5	5
	<i>Dispute Resolution, Complaints, Hearings</i>	0		
8B. Notification to LEA, if child potentially eligible for Part B	<i>Monitoring Activities:</i>	0	0	0
	<i>Dispute Resolution, Complaints, Hearings</i>	0		

Indicator C-9 Worksheet: Summary of findings and correction reported in 2011-2012

Indicator/ Indicator Clusters	General Supervision System Components:	# of EIS programs issued findings in FFY 2011 <small>(7/1/11 to 6/30/12)</small>	(a) : # of findings of noncompliance identified in FFY 2011 <small>(7/1/11 to 6/30/12)</small>	(b) : # of findings of noncompliance from (a) for which correction was verified no later than one year from identification
8C. Transition conference, if child potentially eligible for Part B.	Monitoring Activities:	6	14	14
	<i>Dispute Resolution, Complaints, Hearings</i>	0		
Sum of the numbers down Column a and Column b			220	220

Percent of noncompliance corrected within one year of identification = (column (b) sum divided by column (a) sum) times 100:  $220 \div 220 = 1 \times 100 = 100\%$

**Describe the process for selecting EIS programs for Monitoring:**

The monitoring process for NDHHS is on a three year cycle for the service coordination agencies with one-third (1/3) of the Planning Regions being monitored each year. Local school districts are monitored by NDE on a five year cycle. The state utilizes the following methods to monitor: file review; state database review; dispute resolution, complaints, hearings; and child/family outcome measurements and results.

**Discussion of Improvement Activities Completed and Explanation of Slippage that Occurred for FFY 2012:**

The State met the target of 100% for FFY 2012. All findings of noncompliance in FFY 2011 were corrected in a timely manner as evidenced in the C-9 Worksheet above.

The improvement activities outlined for FFY 2012 were implemented as follows:

1.	Provide technical assistance and training to EIS agencies about the monitoring process; provide support for implementation of corrective action plans.
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- Completed and continuing

2.	<i>Discontinued 2010-2011—encompassed in revised Activity 1.</i>
3.	<i>Discontinued 2010-2011—encompassed in revised Activity 1.</i>
4.	<i>Discontinued 2010-2011—encompassed in revised Activity 1.</i>

**Correction of FFY 2011 Findings of Noncompliance Timely Corrected (corrected within one year from identification of the noncompliance):**

1. Number of findings of noncompliance the State made during FFY 2011 (the period from July 1, 2010 through June 30, 2011 (Sum of Column a on the Indicator C 9 Worksheet)	220
2. Number of findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS programs of the finding) (Sum of Column b on the Indicator C 9 Worksheet)	220
3. Number of findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

**Correction of FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance):**

4. Number of FFY 2011 findings not timely corrected (same as the number from (3) above)	0
5. Number of findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	0
6. Number of findings <u>not</u> yet verified as corrected [(4) minus (5)]	0

**Actions Taken if Noncompliance Not Corrected**

All findings of non-compliance identified in FFY 2011 (2011-2012) were corrected and brought into compliance within the one-year timeline as required to meet the target of 100%.

**Verification of Correction (either timely or subsequent)**

In each case of noncompliance found in FFY 2011, the Co-Leads notified the EIS program in writing concerning the finding of noncompliance and the requirement that the noncompliance be corrected as soon as possible, but in no case more than one year from identification. The State verified that each EIS program not in compliance correctly implemented the specific regulatory requirement and ensured that all children were provided all services as specified on the IFSP. Each EIS program not in compliance was required to develop and implement a corrective action plan to ensure correction of non-compliance within one year. The Co-Leads monitored the implementation of the action plans and reviewed different files from those previously reviewed or reviewed the state database of newly referred children for assurance that compliance was met and CAP-related processes, as well as specific regulatory requirements, were implemented. Within one year of identification, each EIS program was found to be in 100% compliance in meeting the specific regulatory requirement.

**Correction of Remaining FFY 2010 Findings of Noncompliance (if applicable)**

If the State reported 100% for this indicator in its FFY 2010 APR and did not report that the remaining FFY 2010 findings were subsequently corrected, provide the information below:

1. Number of remaining FFY 2010 findings noted in OSEP’s June 1, 2012, FFY 2011 APR response table for this indicator	0
2. Number of remaining FFY 2010 findings the State has verified as corrected	0
3. Number of remaining FFY 2010 findings the State has NOT verified as corrected [(1) minus (2)]	0

**Correction of Any Remaining Findings of Noncompliance from FFY 2009 or Earlier**

All findings of noncompliance from FFY 2009 and earlier were corrected within the one-year timeline as required.

**Additional Information Required by the OSEP APR Response Table**

Statement from the Response Table	State’s Response
None	None

**Revisions, with Justification, to Improvement Activities / Timelines / Resources for FFY 2012:**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.

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**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

See APR Overview, Page 1.

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 12:** Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = (3.1(a) divided by 3.1) times 100.

**Applied:** 0 divided by 3.1 = 0 X 100 = 0

FFY	Measurable and Rigorous Target
<p><b>2012</b> <i>(2012-2013)</i></p>	<p>There were no resolution sessions held during FFY 2012. The State will provide baseline targets and improvement activities in any FFY in which 10 or more resolution sessions are held.</p>

**Actual Target Data for FFY 2012:**

There were no due process hearings for FFY 2012; therefore no resolution sessions were conducted.

**Discussion of Improvement Activities Completed and Explanation of Slippage that occurred for FFY 2012:**

The improvement activities outlined for FFY 2012 were implemented as follows:

1.	Implement the resolution process.
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- No implementation was necessary because there were no hearing requests.

2.	<i>Completed 2006-2007.</i>
3.	<i>N/A</i>
4.	<i>Completed 2006-2007.</i>
5.	<i>Completed 2006-2007.</i>

6.	Provide training and information to school districts regarding resolution sessions and dispute resolution procedures.
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- Completed and continuing.

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

See APR Overview, Page 1.

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 13:** Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.

**Applied:** 0 + 0 divided by 2.1 = 0 X 100 = 0

FFY	Measurable and Rigorous Target
<p><b>2012</b> (2012-2013)</p>	<p>Targets are not required if complaints are fewer than 10 (per report from OSEP 3/10/06). The State will provide baseline targets and improvement activities in any FFY in which 10 or more resolution sessions are held.</p>

**Actual Target Data for FFY 2012:**

In FFY 2012, no mediations were held for Part C.

**Discussion of Improvement Activities Completed and Explanation of Slippage that occurred for FFY 2012:**

Improvement activities are not required if complaints are fewer than 10 (per report from OSEP 3/10/06).

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.

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**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

See APR Overview, Page 1.

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 14:** State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

State reported data, including 618 data, State performance plan, and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and
- b. Accurate, including covering the correct year and following the correct measurement.

States are required to use the "Indicator 14 Data Rubric" for reporting data for this indicator.

FFY	Measurable and Rigorous Target
2012 (2012-2013)	State reported data is submitted in a timely and accurate manner 100% of the time.

**Actual Target Data for FFY 2012:**

OSEP scored Nebraska at 100% for timely and accurate submission of data.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:**

The improvement activities outlined for FFY 2012 were implemented as follows:

1.	<i>Completed 2006-2007.</i>
2.	<i>Completed 2005-2006.</i>
3.	<i>Completed 2006-2007.</i>

4.	Monitor system for accuracy of reporting and make adjustments as needed.
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- Completed and continuing.

5.	<i>Completed 2007-2008.</i>
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**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:**

There were no revisions in FFY 2012. Nebraska reviewed its targets, improvement activities, timelines and resources for this indicator and determined they continue to be appropriate.